

Page 1 of 2 019930-001410US

UNITED STATES DEPARTMENT OF COMMERCE C'D United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

OCT 3 0 2003

IND CLMS

.4

FILING OR 371 APPL NO. **ART UNIT** FIL FEE REC'D ATTY.DOCKET NO (c) DATE DRAWINGS TOT CLMS 10/619,940 07/14/2003 2872 0.00 19930-001410 7

CONFIRMATION NO. 6845

28

FILING RECEIPT

OC000000011063247

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

Date Mailed: 10/20/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

M. A. Michalicek, Westminster, CO;

Assignment For Published Patent Application

Network Photonics, Inc., Boulder, CO:

PTS Corporation, San Jose, CA

Domestic Priority data as claimed by applicant

This application is a CON of 09/859,069 05/15/2001 PAT 6,608,712

Foreign Applications

If Required, Foreign Filing License Granted: 10/17/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Hidden flexure ultra planar optical routing element

359

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the dated indicated above and is address to

Attorney Docket No.: 19930-001410US

Unassigned

REQUEST FOR CORRECTED FILING

2872

PATENT

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

on January 16, 2004

Sara B. McPeak

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

RECEIPT

In re application of:

M. Adrian Michalicek

Application No.: 10/619,940

Filed: July 14, 2003

For: HIDDEN FLEXURE ULTRA

PLANAR OPTICAL ROUTING ELEMENT

Mail Stop Missing Parts

Assistant Commissioner for Patents

U. S. Patent and Trademark Office

Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt (for the two corrections as marked) is respectfully requested. Following are the two errors:

1. Under the section ASSIGNMENT FOR PUBLISHED PATENT APPLICATION, the Assignee should read as follows:

PTS Corporation, San Jose, CA

2. This corporation is a large entity. The term "small entity" should be removed from the filing receipt.

Enclosed herein is a copy of the Assignment that was filed in the parent case, now Pat. No. 6,608,712, issued August 19, 2003. Also enclosed is a copy of the recordation of the Patent Assignment and Transfer of Patents from Network Photonics, Inc. to PTS Corporation, said recordation dated July 25, 2003.

Also enclosed is a revised Application Data Sheet that corrects the Assignee and corrects the status of large entity.

Attorney Docket No.: 19930-001410US

Application No.: 10/619,940

Page 2

Please charge the fee of \$25.00 to Deposit Account No. 20-1430. The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to the above Deposit Account. This Request is submitted in triplicate.

Respectfully submitted,

Roger 7. Barrett Reg. No. 41,599

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (303) 571-4000

Fax: (303) 571-4321

RTB/sbm 60028497 v1